BIN (343C)

0300

27-02 8400

0280#4

Express Mail No.EL841975159US Attorney Docket No. P01-3903 Client/Matter No. 68854.0190

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e Application of:

Clark E. Lubbers, Keith D. Woestehoff, Masami Y. Hua, Richard P. Helliwell, Randy L. Roberson and Robert G. Bean

Serial No. 10/043,924

Filed: October 22, 2001

For: SYSTEM AND METHOD FOR INTERFACING WITH VIRTUAL

STORAGE

Group Art Unit: Not Yet

Accorded

Examiner: Not Yet

Accorded

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.56

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

No fee_is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Signed on

Respectfully submitted,

Stuart T. Langley, Reg. No. 33,940

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5300 Tel

(720) 406-5301 Fax



Express Mail No. EL841975159US Attorney Docket No. P01-3903 Client/Matter No. 68854.0190

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Clark E. Lubbers, Keith D. Woestehoff, Masami Y. Hua, Richard P. Helliwell, Randy L. Robertson and Robert G. Bean

Serial No. 10/043,924

Filed: October 22, 2001

For: SYSTEM AND METHOD FOR INTERFACING WITH VIRTUAL

STORAGE

Group Art Unit: Not Yet

Accorded

Examiner: Not Yet

Accorded

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the attached:

- Information Disclosure Statement;
- 2. Form PTO/SB/08A, with copies of six references;
- 3. Certificate of Mailing; and
- 4. Return card

relating to the above application, were deposited as "Express Mail" Mailing Label No. EL841975159US, with the United States Postal Service, addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231 on $\sqrt{26/07}$.

Date,

Date

Mailer

Stuart T. Langley, Reg. No. 33,940

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5300 Tel

(720) 406-5301 Fax

PTO/SB/08A (10/01) (Substitute for form 1449A/PTO)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Sheet 1 of 1

ATTY. DOCKET NO. P01-3903 Client/Matter No. 68854.0190 **APPLICATION NO.** 10/043,924

FIRST NAMED INVENTOR

Clark E. Lubbers, Keith D. Woestehoff, Masami Y. Hua, Richard P. Helliwell, Randy L. Roberson and Robert G. Bean

FILING DATE October 22, 2001 ART UNIT Not yet accorded

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,996,089		Mann et al.	
		US-5,832,222		Dziadosz et al.	
		US-6,148,414		Brown et al.	
,					

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Doc cntry code – No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns. Lines Where Relevant Passages or Relevant Figures Appear	TRANSL	TRANSLATION	
						YES	NO	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published
		SMART STORAGE Inc., "SmartStor InfiNet Tm : Virtual Storage for Today's E-economy," September 2000.
		COMPAQ COMPUTER CORPORATION, "The Compaq Enterprise Network Storage Architecture: An Overview," May 2000.
		COMPAQ COMPUTER CORPORATION, "Compaq Storage Works" Data Replication Manager HSG80 ACS V8.5P Operations Guide, March 2000.

EXAMINER SIGNATURE

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.